

# EUIPO Absolute Grounds of Refusal

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## Agenda

- I. General Remarks
  - Area of Application
  - 2. Overview of A. 7(1) EUTMR
  - 3. Examination by the Office
  - 4. Languages
  - 5. Relevant Territory
  - 6. Scope of the Objection
- II. Traditional Marks
- III. Non-Traditional Marks
- IV. Acquired Distinctiveness
- V. Collective Marks
- VI. Certification Marks





# **Absolute Grounds for Refusal**





# I. General Remarks





relevant provision: A. 7(1) EUTMR



- the assessment on absolute grounds for refusal pursuant to A. 7(1) EUTMR will apply to all kinds of EUTM applications, namely for:
  - individual marks
  - collective marks
  - certification marks



 individual marks: indicate the commercial origin of the protected goods/services



 collective marks: indicate that the goods/services protected by that mark originate from members of an association



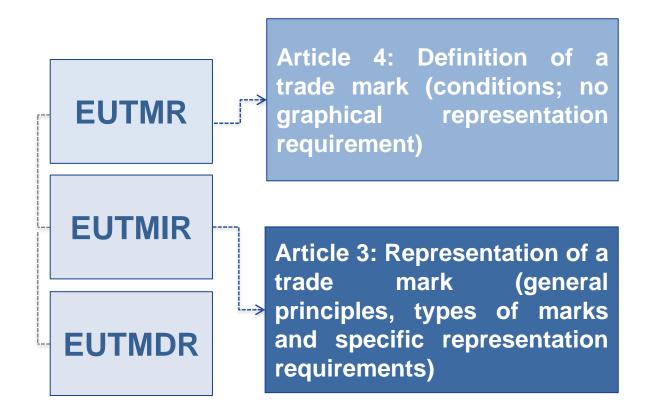
 certification marks: when the mark is applied for and capable of distinguishing goods/services that are certified by the proprietor in respect of certain characteristics (with the exception of the geographical origin)



- individual marks can be divided into:
  - 'traditional' marks
  - 'non-traditional' marks



#### What can be a trade mark?





#### 'Traditional' Marks

word marks

DIOR

JUST DO IT

**GIVES YOU WINGS** 



#### 'Traditional' Marks

figurative marks





#### 'Traditional' Marks

shape marks



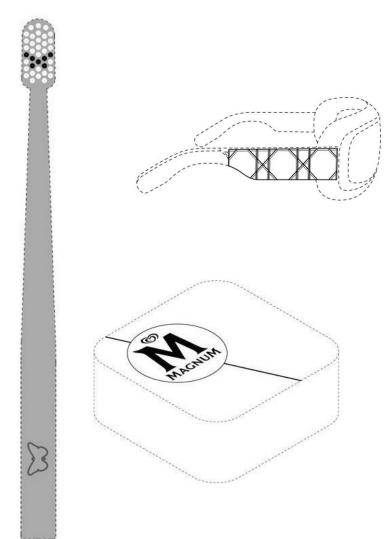






## 'Traditional' Marks

position marks

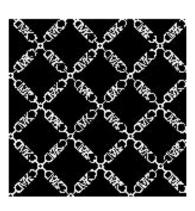




#### 'Traditional' Marks

pattern marks



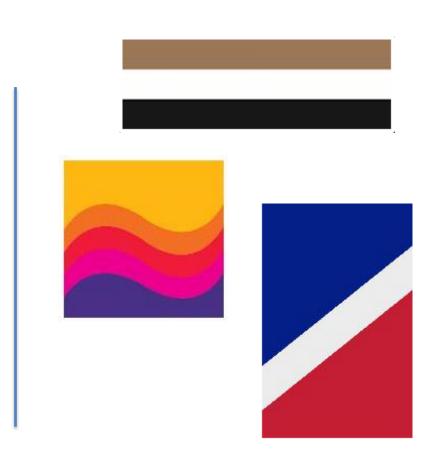






### 'Traditional' Marks

colour marks





#### 'Non-Traditional' Marks

sound marks







## 'Non-Traditional' Marks









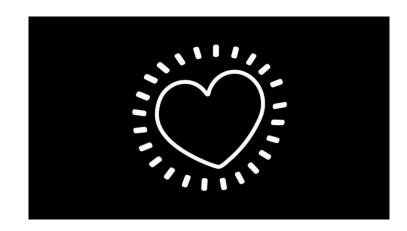
motion marks

https://euipo.europa.eu/eSearch/#details/trademarks/018895869



#### 'Non-Traditional' Marks

multimedia marks



https://euipo.europa.eu/eSearch/#details/trademarks/017868267



#### 'Non-Traditional' Marks

hologram marks



https://euipo.europa.eu/eSearch/#details/trademarks/017993401



### 'Non-Traditional' Marks

other marks





# I. General Remarks





# (a): Signs contrary to A. 4 EUTMR

- an EU trade mark should be rejected if it does not consist of <u>a sign capable</u> of:
  - distinguishing the goods/services of one undertaking from those of others; and
  - being <u>represented</u> on the Register in a manner which enables the competent authorities and the public to determine the clear and precise subject matter of the protection



# (a): Signs contrary to A. 4 EUTMR

acceptable example: 21/05/2021, T-193/18



for 'chainsaws' in cl. 7 description: 'The colour orange is applied to the top of the housing of the chain saw and the colour grey is applied to the bottom of the housing of the chain saw.'



(b): Non-distinctive marks



**ECO** 

 a sign must serve to identify the goods/services as <u>originating from a</u> <u>particular undertaking</u>, and to <u>distinguish</u> <u>those goods/services</u> from those of other undertakings



**MORE THAN JUST A CARD** 



# (b): Non-distinctive marks

acceptable example: 15/12/2016, T-678/15 and T-679/15



for 'pharmaceutical preparations' in cl. 5



(c): Descriptive marks



#### TRUEWHITE

YOUR DAILY

 a sign must be refused if its meaning is immediately perceived by the relevant public as providing information about e.g. the quantity, quality, characteristics, purpose, kind and/or size of the goods/services

FRESHHH



# (c): Descriptive marks

acceptable example: 21/04/2021, T-323/20

#### 'HELL'

for coffee-related goods in and malt coffee extracts in cl. 30



(d): Customary signs or indications

 signs consisting exclusively of words or indications that have become <u>customary in the</u> <u>current language or in the bona fide and</u> <u>established practices of the trade</u> at the relevant point in time are excluded from registration





# (d): Customary signs or indications

acceptable example: 28/06/2021, R 2067/2020-1

'Infinity Screen'

for 'televisions' in cl. 9



# (e): Shapes or Other Characteristics

- signs that consist exclusively of the shape or another characteristic that
- (i) results from the <u>nature</u> of the goods themselves;
- (ii) is necessary to obtain a <u>technical result</u>; or
- (iii) gives <u>substantial value</u> to the goods



# (e)(i): Resulting from the nature of the goods

- this ground will apply to:
  - -shapes of 'natural' products
  - -shapes of 'regulated' products;







# (e)(i): Resulting from the nature of the goods

acceptable example: 08/05/2019, T-324/18



for, among others, 'alcoholic beverages; spirits' in cl. 33



# (e)(ii): Necessary to obtain a technical result

- this provision applies when <u>all the essential</u> <u>characteristics</u> perform a technical function
- two-step assessment:
  - (1) Which are the essential characteristics of a sign?
  - (2) Are all the essential characteristics <u>necessary</u> for obtaining a technical result?



# (e)(ii): Necessary to obtain a technical result

acceptable example: 10/02/2022, R 1093/2021-2



for cable and pipe penetration seals, sealing frames and pipes for building in cl. 6, 17 and 19



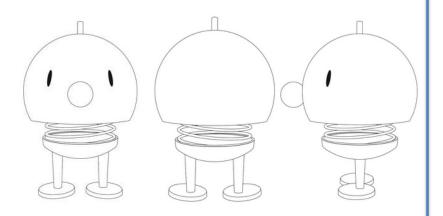
## (e)(iii): Giving substantial value to the goods

- the concept of 'value' should be interpreted not only in <u>commercial (economic)</u> terms but also in terms of 'attractiveness'
- case-by-case approach: it is important to determine whether the aesthetic value of the shape can determine the commercial value of the product and the consumer's choice to a large extent



# (e)(iii): Giving substantial value to the goods

acceptable example: 31/08/2021, R 52/2021-2



for goods in cl. 9, 20, 21 and 28 (computer software, statuettes, household utensils, games, etc.)



## (f): Marks contrary to public policy or morality

#### LICKWEED

- 'public policy': set of <u>fundamental norms</u>, <u>principles and values</u> of societies in the EU at a given point in time
- 'accepted principles of morality': the <u>fundamental</u> moral values and <u>standards</u> accepted by a society in the EU at a given time

SS



# (f): Marks contrary to public policy or morality

acceptable example: 27/02/2020, C-240/18 P 'Fack Ju Göhte'

for various goods and services in cl. 3, 9, 14, 16, 18, 21, 25, 28, 30, 32, 33, 38 and 41 (perfumery, electronic publications, jewellery, stationery, suitcases, clothing, games, foodstuffs, beverages, telecommunication services, education, etc.)



## (g): Deceptive marks

 marks that are of such a nature as to deceive the public, for instance, as to the <u>nature</u>, <u>quality or</u> <u>geographical origin of the goods/services</u>, will not be registered



(g): Deceptive marks



- cumulative criteria:
  - (1) specific, clear and unambiguous <u>message</u> regarding the goods/services (a non-deceptive use is not possible); and
  - (2) <u>actual deceit or sufficiently serious risk</u> of being deceived

#### **LACTOFREE**



## (g): Deceptive marks

acceptable example: 27/06/2017, T- 327/16

#### 'ANTICO CASALE'

for goods and services in cl. 29, 30 and 35 (foodstuffs as well as wholesaling and retailing in relation thereto)



# (h): Marks in conflict with flags or other symbols

- marks <u>not authorised</u> by the competent authorities and <u>not complying with A. 6ter Paris</u> <u>Convention</u> are to be refused
- A. 6ter PC: the registration and use of trade marks identical or notably similar to (1) <u>state</u> <u>emblems</u>, (2) official signs and hallmarks indicating <u>control</u> and <u>warranty</u>, and (3) emblems, abbreviations and names of IGOs is excluded



## (h): Marks in conflict with flags or other symbols

- criteria: the sign must
  - (1) consist solely of the identical reproduction or 'heraldic imitation' of the symbols at issue; or
  - (2) <u>contain</u> the <u>identical reproduction or 'heraldic</u> <u>imitation'</u> of these symbols; and
  - (3) the competent authority must <u>not</u> have given its <u>authorisation</u>



# (h): Marks in conflict with flags or other symbols

acceptable example: 18/05/2018, R 2741/2017-4



for goods and services in cl. 9, 16, 35 and 41 (computer software, printed matter, advertising, education services, etc.)



# (i): Marks in conflict with flags or other symbols

 marks including badges, emblems or escutcheons other than those covered by A. 6ter
 PC and which are of particular public interest, should not be registered, unless the competent authority has consented to their registration



(i): Marks in conflict with flags or other symbols

acceptable example: 02/05/2022, R 1304/2021-1



for goods and services in cl. 8, 16, 21 and 41 (kitchen knives, cookery books, cooking pots, education services, etc.)



# (j): Marks in conflict with GIs





- marks which are in conflict with GIs (PDOs and PGIs) should be refused
- three cumulative conditions:
  - (1) the GI must be registered at EU level;
  - (2) the use of the sign must constitute <u>one of situations</u> provided for in the Regulations; and
  - (3) the sign must include the <u>relevant goods</u>



(j): Marks in conflict with GIs

non-acceptable example: 10/06/2021, R 2885/2019-2

'AMICONE'

for 'wines' in cl. 33



## (k): Marks in conflict with TTWs

- marks which are in conflict with TTWs should be refused – TTWs convey information to consumers about <u>particularities and quality of wines</u>, in principle <u>complementing</u> information conveyed by <u>PDOs and PGIs</u>
- relevant goods: wine, liqueur wine, sparkling wine, semi-sparkling wine, grape must, wine from raisined grapes and alike in cl. 33



#### (k): Marks in conflict with TTWs

acceptable example: 018140956 for goods in cl. 29 and 31 (vegetables)



acceptable example: 017871480 for goods in cl. 3, 29, 31 and 32 (essential oils, fruit, juices, etc.)





(I): Marks in conflict with TSGs



 marks which are in conflict with TSGs should be refused – TSGs highlight <u>traditional</u> <u>characteristics</u> of a product either in its <u>production</u> <u>process or composition</u>



# (I): Marks in conflict with TSGs

non-acceptable example: 018082507 for goods in cl. 8, 16 and 30 (pizza slicers, pizza boxes, pizza, etc.)



"TRADIZIONE NAPOLETANA"

non-acceptable example: 016057416 for goods in cl. 29 (milk products, cheese, etc.)





## (m): Marks in conflict with PV denominations

- marks which are in conflict with earlier PVs denominations should be refused – PV denominations identify <u>cultivated varieties or</u> <u>subspecies</u> of live plants or agricultural seeds
- relevant goods: 'live plants, agricultural seeds, fresh fruits, fresh vegetables' or equivalent wording in cl. 31



#### (m): Marks in conflict with PV denominations

- requirements:
  - (1) registered PV denomination;
  - (2) the PV denomination was registered prior to the sign;
  - (3) the sign consists of, or reproduces in its essential elements, the earlier PV denomination;
  - (4) the list of goods for which protection is sought for includes a PV of the <u>same species as</u>, or of <u>species</u> <u>closely related to</u>, those protected by the registered PV denomination



#### (m): Marks in conflict with PV denominations

acceptable example: 08/11/2019, R 2221/2019-2



# 'Kordes Rose Monique'

for 'roses and rose plants, and rose-propagating stock' in cl. 31



# I. General Remarks





# 3. Examination by the Office

- any ground listed in A. 7(1) EUTMR is sufficient for a refusal; it is <u>independent</u> and must be examined separately
- possible reactions from the applicant:
  - no observations
  - observations
  - restriction of goods/services
  - proof of acquired distinctiveness



# I. General Remarks





## 4. Languages

- A. 7(1) EUTMR has to be interpreted on the basis of a <u>common European standard</u>
- however, A. 7(2) EUTMR excludes an application for registration if a ground for refusal pertains only to part of the EU



## 4. Languages

- relevant languages for absolute grounds:
  - EU <u>national</u> languages
  - -EU regional languages (incl. dialects)



# I. General Remarks





## 5. Relevant Territory

- basis of the objection:
  - if not based on the meaning of a word: normally relating to the <u>EU as a whole</u>
  - if based on the meaning of a word:
     generally applying to part of the EU only,
     A. 7(2) EUTMR



# 5. Relevant Territory

- EU national languages:
  - at least in MS where the language is official
  - in other MS for <u>historic</u>, <u>cultural or cross-</u> <u>border market reasons</u>
  - because the language is <u>widely studied</u>and spoken



## 5. Relevant Territory

- EU regional languages and non-EU languages:
  - constitutionally recognised and/or coofficial: (at least) MS where language is recognised/official
  - without official status and non-EU
     languages: language and part of the
     relevant public have to be <u>specified</u>



# I. General Remarks





# 6. Scope of the Objection

- in principle, an examination of absolute grounds must be carried out in relation to each of the goods/services at issue
- it is necessary to <u>state reasons</u> in respect of each of those goods/services



# **Absolute Grounds for Refusal**





# 1. Word Marks – Examples

- 'FUCKING AWESOME' (15/03/2023, T-178/22)
  - for goods in cl. 9, 18, 25 and 28 (mainly eyewear, bags, clothing and skateboards)
  - acceptable?
  - consumers would perceive 'FUCKING' as a vulgar intensifier and 'AWESOME' to refer to something excellent or outstanding
  - the combination would be understood as a promotional message indicating the high quality of the goods: not distinctive, A. 7(1)(b) EUTMR



## 1. Word Marks – Examples

- 'Cash4life' (21/12/2022, T-554/21)
  - for services in cl. 35, 41 and 42 (advertising, entertainment, IT services)
  - acceptable?
  - understood as 'cash for all of your life' or 'cash for the rest of your life'
  - clear link between winning money for life and advertising, entertainment and IT services in the context of gambling or games of chance and lotteries
  - the sign is descriptive and non-distinctive, A.
     7(1)(b), (c) EUTMR



# 1. Word Marks – Examples

- 'It's like milk but made for humans' (20/01/2021, T-253/20)
  - for goods in cl. 29, 30 and 32 (milk substitutes and related foodstuffs)
  - acceptable?
  - the sign conveys a message which is capable
    of setting off a cognitive process in the minds of
    the relevant public making it easy to remember
    and which is consequently able to distinguish
    the applicant's goods it has the minimum
    degree of distinctive character



# 2. Figurative Marks – Examples



- 05/10/2022, T-802/21
- for goods and services in cl. 3,29, 30, 32, 33, 35 and 41(cosmetics, foodstuffs, etc.)
- acceptable? X
- the sign describes an intrinsic characteristic inherent in the nature of the goods and is also non-distinctive, A.
  7(1)(b), (c) EUTMR



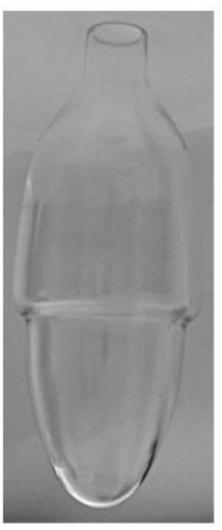
# 2. Figurative Marks – Examples



- 12/05/2021, T-178/20
- for Cannabis-related services in cl. 35, 39, 42 and 44
- acceptable?
- the association of 'weed' with therapeutic services might be understood as if the consumption and production of narcotics will be tolerated or even promoted: contrary to public policy, A. 7(1)(f)
   FUTMR



# 3. Shape Marks – Examples



- 12/12/2019, C-783/18 P
- for goods in cl. 29, 30, 32 and33 (i.a. beverages)
- acceptable?
- the form departs significantly from classical amphoras; as a whole, it presents the required minimum distinctiveness



# 3. Shape Marks – Examples



- 06/10/2011, T-508/08
- for goods in cl. 9 and 20 (i.a. loudspeakers, music furniture)
- acceptable? X
- the design is an essential element of the branding and increases the product's appeal
- the shape gives a substantial value to the goods, A.7(1)(e)(iii) EUTMR



## 4. Position Marks – Examples



- 13/04/2021, R 2028/2020-2
- for heat transfer presses (which apply designs) in cl. 7
- acceptable? X
- the features (platen pad or plate and colour blue) consist of a simple variation of the basic shapes of the goods, making the sign non-distinctive,

A. 7(1)(b) EUTMR



# 5. Pattern Marks – Examples



- 03/10/2019, R 2368/2018-1
- for goods in cl. 16 and 28(books, toys, decoration, etc.)
- acceptable?
- the sign does not have a 'special level of artistic creativity or imaginativeness', but it would be excessive to affirm that it is 'devoid' of distinctive character



# 6. Colour Marks – Examples



'The trademark consists of a combination of the colours green (Pantone 7742 C) and orange (Pantone 1505 C); the frame including the nozzle support frame of the field sprayer is green; attachments to the frame, including nozzle holders, container and cover, are orange.'

- 04/05/2023, T-618/22
- for 'agricultural machines and implements, namely field sprayers' in cl. 7
- acceptable?
- the colours refer to ecology and warning, and are frequently used in the sector of agricultural machines: non-distinctive, A. 7(1)(b)
   EUTMR



# **Absolute Grounds for Refusal**





# 1. A. 3(1) EUTMIR

- the trade mark shall be <u>represented in any</u> <u>appropriate form</u> using generally available technology
- as long as it can be reproduced on the Register in a <u>clear</u>, <u>precise</u>, <u>self-contained</u>, <u>easily accessible</u>, <u>intelligible</u>, <u>durable and</u> <u>objective manner</u>



# 2. Sound Marks – Examples





https://euipo.europa.eu/trademark/sound/EM500000017912475

- 07/07/2021, T-668/19
- for goods in cl. 6, 29, 30,32 and 33 (i.a. beverages)
- acceptable?
- this sound is a purely technical and functional element and will be associated with drinks: non-distinctive, A. 7(1)(b)
   EUTMR



# 2. Sound Marks – Examples





https://euipo.europa.eu/trade mark/sound/EM5000000181 68977

- 12/03/2021, R 1996/20205
- for goods in cl. 3, 9, 14, 16,18, 21, 25, 28, and 32-34
- acceptable?
- this sound is capable of engendering a certain form of attention and of indicating the commercial origin of the goods



# 3. Motion Marks – Examples





- 08/06/2018, R 2661/20175
- for goods and services in cl.25, 30 and 43
- acceptable?
- the sign will be seen as a banal and ordinary scene of a chef preparing meat with a pinch of salt: non-distinctive,
   A. 7(1)(b) EUTMR



# 4. Multimedia Marks





## 4. Multimedia Marks – Examples



https://euipo.europa.eu/eS earch/#details/trademarks/ 018061546

- 07/03/2023, R 1490/2022-5
- for goods and services in cl. 16,33 and 41
- acceptable?
- the mark does not only have original (though not necessary) features, it also enables the relevant public to distinguish the commercial origin of the goods/services



# 5. Hologram Marks – Examples



https://euipo.europa.eu/eSearch/#detai ls/trademarks/017579491  acceptable example: 017579491 for goods and services in cl. 16, 21 and 35 (stickers, postcards, containers, tableware, etc. and retail and wholesale services in relation to these goods)



# 5. Hologram Marks – Examples





#### 6. Other Marks

- types of marks not explicitly included in the non-exhaustive list in A. 3(3) EUTMIR:
  - layout of a retail store





# 6. Other Marks

- -smell/olfactory marks
- -taste marks
- -tactile marks



## 6. Other Marks – Examples





- acceptable examples:
- 018889968 for services in cl.
   35 and 43 (advertising, consultancy services, provision of food and drink, etc.)
- 018754993 for services in cl. 43 (cafeteria and restaurant services, rental of bar equipment, provision of food and drink, etc.)



# 6. Other Marks – Examples











# 6. Other Marks – Examples















#### **Absolute Grounds for Refusal**





a sign may still be registered despite the fact that it does not comply with A. 7(1)(b),
 (c) or (d) EUTMR, provided that it has become <u>distinctive</u> in relation to the goods/services for which registration is requested <u>in consequence of the use</u> which has been made of it



- types of claims: <u>principal</u> and <u>subsidiary</u>
- timing of request: together with the application or, at the latest, in reply to the examiner's first objection



- factors:
- (1) market share;
- (2) how intensive, geographically widespread and long-standing the use has been;
- (3) amount invested in promotion; and
- (4) proportion of the relevant public who identifies the goods/services as originating from a particular undertaking



- examples of evidence:
- (1) sales brochures;
- (2) catalogues;
- (3) price lists;
- (4) invoices;
- (5) annual reports;
- (6) turnover figures;
- (7) advertising investment figures and reports;
- (8) advertisements (press cuttings, billboard posters, TV adverts);
- (9) customer and/or market surveys; and
- (10) affidavits



# **Absolute Grounds for Refusal**





# V. Collective Marks – Examples

- 'EMMENTALER' (24/05/2023, T-2/21)
  - for 'cheeses with the protected designation of origin "Emmentaler" in cl. 29
  - acceptable?
  - the relevant German public will immediately understand the sign as designating a type of cheese: descriptive (and therefore also nondistinctive), A. 7(1)(b), (c) EUTMR
  - since the sign is not perceived as an indication of the geographical origin of that cheese, it does not enjoy protection as a collective mark



## **Absolute Grounds for Refusal**





# VI. Certification Marks – Examples



- 05/05/2023, R 2229/2022-2
- for goods and services in cl. 5,29-33, 35, 39 and 44
- acceptable? X
- the sign informs about the material, quality or treatment of the animals/goods: descriptive, non-distinctive and deceptive,

A. 7(1)(b), (c) and (g) EUTMR





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